FORM PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY.DOCKET NO. 06425ZP

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Richard Van Court Carr, et al..

FILING DATE

GROUP

(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

EXAM- INER	T		DOCU	MENT	NUMBE	 R		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO-PRIATE
INITIAL	1	10	15	I q	T 7	11	Τo	3/27/2003	Inque	430	270.1	
-9/11-	15	+ -	١,	1 7	+	1 🙀	1 1	1/10/2002	A. Zampini, et al.	526	257	2/23/2001
- <i>XX</i> -	+ %-	+ %-	+=	+=	+ *	1 2	 ě	5/2/2002	Y. Harada, et al.	430	270.1	9/7/2001
- 64	10	1 %	12	1:	╅╇	1 =	 	5/9/2002	G. N. Taylor, et al.	430	270.1	9/8/2001
	1 0	1 0	1 5	+	+ -	 } -	+ *	5/23/2002	T. Aoai, et al.	430	270.1	9/25/2001
ek _	10	10	10	+-	+ 4	1 %	+÷-	9/18/2001	K. Kodama, et al.	430	270.1	7/27/1999
- CK	6	12	19	+1-	+!-	13-	10			430	270.1	2/24/2000
JUL -	6	4	0	6	8	2	8	6/18/2002	C. R. Szmanda, et al.	1 400	1 2/0.1	DETECTO

FOREIGN PATENT DOCUMENTS TRANSLATIO CLASS SUBCLASS COUNTRY DOCUMENT NUMBER YES NO -X Japan (Abstract) 603F 603F 7/00 X World 3 9 WW WO 0 2 5 X Europe 71039 8 AUY EP 1 0 3 5 6 1 $\overline{\mathsf{x}}$ GOSF 039 3 2 2 Europe 2 6 X 603F World 7/039 2 WO 0 0 7 7 1 X World G-63F DOY 2 WO 0 0 6 7 0 7 X 7/00 <u>G03F</u> 6 2 World WO 3 3 0 1 6 X 214/00 World 108T= WO 8 5 8 1 1 0 1 7/004 X World C103F WO 0 2 2 2 1 2 7/004 X World WO 2 2 2 3 <u>603F</u> 0 X 7/004 World WO 2 2 2 4 G03F 0 X World 603F 7/039 WO 2 2 2 6 0

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Hiroshi Ito, et al., "Synthesis and Evaluation of Alicyclic Backbone Polymers for 193 nm Lithography", American Chemical Society, 1998. Hiroshi Ito, et al., "Aliphatic Platforms for the Design of 157 nm Chemically Amplified Resists", SPIE Proceedings, Vol. 4690 (2002), 18-28. M. M. Dhingra, et al., 'Polymerization of 1,1,1Trifluoroacetone with Aliphatic Secondary Amines. A Proton and Fluorine Magnetic Resonance Investigation, Organic Magnetic Resonance, Vol. 9, No. 1 (1977), pp. 23-28. H. E. Simmons, et al., "Fluoroketones" The Central Research Department Station, E. I. du Pont de Nemours and Co., Vol. 82 (1959), pp. 2288-2296. E. T. McBee, et al., 'The Chemistry of 1,1,1-Trifluoropropanone. II. The Reactions of 4-Methyl-1,1,1,-5,5,5-hexafluoro-3penten-2-one with Methyimagnesium lodide," The Department of Chemistry, Purdue University (1956), pp. 4597-4598. A. L. Henne, et al., "Trifluoromethylated Butadienes," The Department of Chemistry at The Ohio State University (1954), pp. 5147-5148. K. J. Pryzbilla, et al., 'Hexafluoroacetone in Resist Chemistry: A Versatile New Concept for Materials for Deep UV Lithography, SPIE Advances in Resist Chemistry and Process IX Vol. 1672 (1992). M. K. Crawford, et al., "New Materials for 157 mn Photoresists: Characterization and Properties," SPIE Advances in Resist Chemistry and Processing IX Vol. 3999 (2000). R. R. Dammel, et al., "New Resin Systems for 157 nm Lithography," Journal of Photopolymer Science and Technology, Vol. H. Ito, et al., "Development of 157 nm Positive Resists," J. Vac. Sci. Technol. B 19(6) (2001). H. Ito, "Dissolution Behavior of Chemically Amplified Resist Polymers for 248-, 193-, and 157-nm Lithography," J. Res. & Dev. Vol. 45 No. 5 (2001). S. Cho, et al., "Investigation of a Fluorinated ESCAP based resist for 157 nm Lithography," (2001). K. Patterson, et al., "The Challenges in Materials Design for 157 nm Photoresists," Lithography, Solid State Technology, pp. 41-48 (2000).

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

06

FXAMINER

PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under th Sut	e Paperwijf Redu estitute for form	PADEN	5, no persons ai		information unless it contains a valid OMB control number. Complete if Known
				Application Number	10/784,377
INFO	RMATION	I DISCLO	SURE	Filing Date	February 23, 2004
	TEMENT E			First Named Inventor	Richard Van Court Carr, et al.
(Use as many she	eets as necessa	iry)	Art Unit	1752
				Examiner Name	
Sheet	1 of 1		1	Attorney Docket Number	06425ZP USA

		U. S. PATENT DO	CUMENTS		
Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or	
	Number-Kind Code ² (F known)			Relevant Figures Appear	
	US				
	US-				
	US-				
	US				
	US-				
	US-				
	US-		<u> </u>		
	US-				
	US-				
<u> </u>	US-				
	US-		+		
L	US-				
	US-			<u></u>	
-	1				
				<u> </u>	
	Cite No.1	Number-Kind Code ^{2 (f Innown)}	Cite No.1	Number-Kind Code ^{2 (8 Intown)}	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or		
		Country Code ³ Number ⁴ Kind Code ⁵ (*			Relevant Figures Appear	T⁰	
W		JP2004107277A (Abstract Also Included)	04-08-2004	Takashi, et al.		4	
						_	

Examiner Signature	& Keno	Date	4/1	5/06
Organization		Considered	· / •	

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document." "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. "Applicant is to place a check mark here if English language Translation is attached."

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1752

Ander the Paper Sox Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. BAD for form 1449/PTO Complete if Known **Application Number** 10/784,377 Filing Date 02/23/2004 INFORMATION DISCLOSURE STATEMENT BY APPLICANT **First Named Inventor** Richard Van Court Carr, et al. (Use as many sheets as necessary)

Examiner Name Attorney Docket Number Sheet of 1 **06425ZP USA** 1

Art Unit

NOV 1 2 2004

			U. S. PATENT D	OCUMENTS		
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or	
		Number-Kind Code ^{2 (3 known)}	_		Relevant Figures Appear	
RUL		^{US-} 2003/059710	03/27/2003	Inoue Keizo		
		US-		,		
		US-				
	1	US-				
	 	US-				
		US-			·	
		US-	·			
	 	US-				
		US-				
	 	US-				
		US-	<u> </u>			
	ļ	US-				
		US-				
ļ		US-				
ļ		Į.		· · · · · · · · · · · · · · · · · · ·		
		US-				
		US-				

		FOREI	GN PATENT D	OCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or	
		Country Code ³ Number ⁴ Kind Code ⁵ (*			Relevant Figures Appear	T ⁶
a		WO 00/67072	11/09/2000	Du Pont		
	-					
				·		

Examiner Signature	RYD	P	Date Considered	4	115	106	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: